

What is claimed is:

1. A system for online delivery of documents to Investors, and for receiving voting  
5 or tendering instructions from investors comprising:

an E-broker system with a database that sends information to and receives information  
from an Investor through an investor system;

an electronic dissemination and tabulation system ("EDT") for delivering information to  
or receiving information from the investor system in response to an investor system request sent  
10 via the E-broker system; and

wherein the EDT system sends information to the investor system in correspondence to  
stock holdings of the Investor as recorded in the E-broker system.

2. The system of claim 1, wherein an electronic indication notifies the Investor that  
15 information is available or information is requested from either the EDT system or the E-broker  
system.

3. The system of claim 1, wherein the communication between the EDT, E-broker, and  
investor systems use a layering model protocol including at least an application layer, a transport  
20 layer an Internet layer, a network interface layer and a physical layer.

4. The system of claim 1 wherein, the investor system request results from a click on an icon on a website of the E-broker system.

5. The system of claim 1, wherein the electronic dissemination and tabulation system provides information by hyperlinked text.

6. The system of claim 1, wherein other E-broker systems in addition to the E-broker system inform the EDT system of what information can be sent to or received from the investor system by record keeping of online activities of Investors in each respective E-broker system.

7. The system of claim 1, wherein the electronic dissemination and tabulation system provides information that includes streaming video.

8. A system for online delivery of documents to Investors, and for receiving voting or tendering instructions from Investors comprising:

an investor system for an Investor to send and receive information;

an E-broker system, having a website, that sends information to and receives information from an investor system;

an electronic dissemination and tabulation system for delivery of information to or reception of information from the investor system; and

wherein the website enables the electronic dissemination and tabulation system to send information to or receive information from the investor system in correspondence to stock

holdings of the Investor as recorded in the E-broker system.

9. The system of claim 8, wherein the investor system is e-mailed notification that information is available on or information is requested by the electronic dissemination and

5 tabulation system.

10. The system of claim 8, wherein the communication between the issuer, electronic dissemination and tabulation, E-broker, and investor systems uses a layering model protocol including at least an application layer, a transport layer an Internet layer, a network interface layer and a physical layer.

11. The system of claim 8, wherein a single click in an area of the website by the Investor enables the electronic dissemination and tabulation system to send information to the investor system.

12. The system of claim 8, wherein the E-broker system provides information to the electronic dissemination and tabulation system of what information can be sent to or received from the investor system through record keeping of activities of the Investor on the E-broker system.

13. The system of claim 12, wherein other E-broker systems in addition to the E-broker system provide information to the electronic dissemination and tabulation system of what

information can be sent to or received from the investor system by record keeping of online activities of all Investors on each respective E-broker system.

14. A method for online information gathering from Investors comprising the aspects of:  
5 an Issuer of investment securities, or an agent thereof, notifying an electronic dissemination and tabulation system of an event requiring information from Investors of the Issuer who trade on-line with at least one E-broker system; and

the Investors connecting to the electronic dissemination and tabulation system via a website of the at least one E-broker system for information from the Issuer.

15. The method of claim 14, wherein the Investor can only connect via a website of the at least one E-broker system to the electronic dissemination and tabulation system where the Investor bought investment securities of the Issuer through the at least one E-broker system.

16. The method of claim 14, wherein the electronic dissemination and tabulation system e-mails the Investor to inform the Investor of the event.

17. The method of claim 14, wherein the electronic dissemination and tabulation provider searches more than one E-broker system to determine the Investors of the Issuer who trade  
20 investments on-line.

18. A method for online delivery of documents to Investors and for receiving voting instructions from Investors comprising the aspects of:

an Issuer of investment securities, an agent thereof or a third party, notifying an electronic dissemination and tabulation provider of an event requiring the dissemination of information or a vote of Investors of the issuer by electronic means;

the electronic dissemination and tabulation provider capturing data from at least one E-broker system on the Investors of the Issuer;

the Investors of the Issuer using an investor system to connect to the electronic dissemination and tabulation system to vote via the E-broker system.

19. The method of claim 18, wherein the Investors securely connect to the electronic dissemination and tabulation system from a website of the E-broker system.

20. The method of claim 18, wherein records of an Investor's vote or an Investor's accesses to information are electronically forwarded to the Issuer.

21. The method of claim 18, wherein the information includes EDGAR filings of the Issuer obtained by the electronic dissemination and tabulation system from the SEC database.

22. The method of claim 18, wherein the electronic dissemination and tabulation system is used to e-mail the investor system to inform the Investor of the event.

23. A method for online tender/exchange offers to Investors comprising the aspects of:  
an Issuer of investment securities, or an agent thereof, notifying an electronic  
dissemination and tabulation provider of a tender/exchange event;  
the electronic dissemination and tabulation provider capturing data from an  
5 E-broker system on Investors of the issuer;  
Investors of the Issuer using the E-broker system to mail notice of the tender/exchange  
event; and  
the Investors using a website of the E-broker system to tender/exchange their securities in  
the Issuer.

24. The method of claim 23, wherein each Investor uses an investor system to connect to  
the electronic dissemination and tabulation system from the website.

25. The method of claim 23, wherein responses of the Investors to the tender/exchange  
event are electronically forwarded to the E-broker system.

26. The method of claim 23, wherein the tender/exchange offer includes information  
from the SEC database obtained by the electronic dissemination and tabulation system.

27. The method of claim 24, wherein the electronic dissemination and tabulation system e-mails the investor system regarding the event.

28. A method for online delivery of Issuer information comprising the aspects of:  
5 an Issuer of investment securities, or an agent thereof, notifying an electronic dissemination and tabulation provider of event information for Investors who trade on-line with at least one E-broker system; and

the Investors using investor systems to connect to an electronic dissemination and tabulation provider via a website of the at least one E-broker system to receive the event information.

29. The method of claim 28, wherein the Investors using investor systems securely connect to the electronic dissemination and tabulation provider from a website of the E-broker system.

30. The method of claim 28, wherein confirmation of an investor system accessing event information is electronically forwarded to the issuer.

31. The method of claim 28, wherein the electronic dissemination and tabulation provider e-mails the investor system regarding the event.

32. A method for online information gathering from Investors comprising the aspects of:  
the Investors using an investor system to connect to an electronic dissemination and  
tabulation system via a website of at least one E-broker system; and  
the electronic dissemination and tabulation system remotely administers online delivery  
5 of materials to the Investors which have been authenticated into the E-broker's website, and  
processes related instructions related to the materials, without the Investors leaving the E-  
broker's website.

33. The method of claim 32, wherein confirmation of an investor system accessing  
10 information regarding the event is electronically forwarded to the issuer.

34. The method of claim 32, wherein the electronic dissemination and tabulation system  
e-mails the investor system regarding the event.